

P W - 1 5

R O X A S H O L D I N G S , I N C .

(Company's Full Name)

6 F C G B U I L D I N G , 1 0 1 A G U I R R E  
S T R E E T , L E G A S P I V I L L A G E  
M A K A T I C I T Y

(Business Address: No. of Street City/Town/Province)

ATTY. FLORENCIO M. MAMAUAG, JR.

810-8901

General Information Sheet 2014

September 30  
Month Day  
Fiscal Year

Form Type

Month Day  
Annual Meeting

Secondary License Type, If Applicable

Department Requiring this Document

Amended Articles Number/Section

Total Amount of Borrowings

Total No. of Stockholders

Domestic Foreign

TO BE ACCOMPLISHED BY SEC PERSONNEL CONCERNED

File Number

LCU

Document I.D.

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes

**GENERAL INFORMATION SHEET (GIS)**

FOR THE YEAR 2014  
STOCK CORPORATION

**GENERAL INSTRUCTIONS:**

- FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
- IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
- THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
- THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
- SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
- ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
- THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> <b>ROXAS HOLDINGS INC.</b>		<b>DATE REGISTERED:</b> <b>31-Oct-1930</b>
<b>BUSINESS/TRADE NAME:</b>		<b>FISCAL YEAR END:</b>
<b>SEC REGISTRATION NUMBER:</b> <b>PW-15</b>		<b>September 30</b>
<b>DATE OF ANNUAL MEETING PER BY-LAWS:</b> <b>EVERY THIRD WEDNESDAY OF FEBRUARY OF EACH YEAR</b>		<b>CORPORATE TAX IDENTIFICATION NUMBER (TIN)</b> <b>000-290-538-000</b>
<b>ACTUAL DATE OF ANNUAL MEETING:</b> <b>19 FEBRUARY 2014</b>		<b>WEBSITE/URL ADDRESS:</b>
<b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b> <b>6F CACHO GONZALES BUILDING, 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY</b>		<b>E-MAIL ADDRESS:</b>
<b>COMPLETE BUSINESS ADDRESS:</b>		<b>FAX NUMBER:</b> <b>8171875</b>
<b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b> <b>REYES TACANDONG &amp; CO. / PROTACIO T. TACANDONG</b>		<b>SEC ACCREDITATION NUMBER (if applicable):</b> <b>0207-F</b>
		<b>TELEPHONE NUMBER(S):</b> <b>8108901-06</b>
<b>PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:</b> <b>HOLDING AND INVESTMENT COMPANY</b>	<b>INDUSTRY CLASSIFICATION:</b>	<b>GEOGRAPHICAL CODE:</b>

===== INTERCOMPANY AFFILIATIONS =====

<b>PARENT COMPANY</b>	<b>SEC REGISTRATION NO.</b>	<b>ADDRESS</b>
ROXAS & COMPANY, INC.	PW-834	7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY
<b>SUBSIDIARY/AFFILIATE</b>	<b>SEC REGISTRATION NO.</b>	<b>ADDRESS</b>
CENTRAL AZUCARERA DON PEDRO, INC.	A200105204	6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY
CENTRAL AZUCARERA DE LA CARLOTA, INC.	CS200253516	6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY
ROXOL BIOENERGY CORPORATION	CS200803267	BRGY. NAGASI, LA CARLOTA CITY, NEGROS OCCIDENTAL
NAJALIN AGRI-VENTURES, INC.	76154	CAC COMPOUND LA CARLOTA CITY NEGROS OCCIDENTAL
CADP FARM SERVICES, INC.	A199611411	6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY
CADP CONSULTANCY SERVICES, INC.	A199611189	6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY
JADE ORIENT MANAGEMENT SERVICES, INC.	166623	6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY
CADP INSURANCE AGENCY, INC.	A199709049	6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY
CADP PORT SERVICES, INC.	CS200811208	BRGY. LUMBANGAN, NASUGBU, BATANGAS
ROXAS POWER CORPORATION	CS200810985	UNITS 1&2 LANDING, JP LAUREL ST., NASUGBU, BATANGAS

NOTE: USE ADDITIONAL SHEET IF NECESSARY

# GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name: ROXAS HOLDINGS, INC.

**A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)**  Yes  No

Please check the appropriate box:

<p><b>1.</b></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> a. Banks</li> <li><input type="checkbox"/> b. Offshore Banking Units</li> <li><input type="checkbox"/> c. Quasi-Banks</li> <li><input type="checkbox"/> d. Trust Entities</li> <li><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</li> <li><input type="checkbox"/> f. Pawnshops</li> <li><input type="checkbox"/> g. Foreign Exchange Dealers</li> <li><input type="checkbox"/> h. Money Changers</li> <li><input type="checkbox"/> i. Remittance Agents</li> <li><input type="checkbox"/> j. Electronic Money Issuers</li> <li><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</li> </ul>	<p><b>4.</b> <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>		
<p><b>2.</b></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> a. Insurance Companies</li> <li><input type="checkbox"/> b. Insurance Agents</li> <li><input type="checkbox"/> c. Insurance Brokers</li> <li><input type="checkbox"/> d. Professional Reinsurers</li> <li><input type="checkbox"/> e. Reinsurance Brokers</li> <li><input type="checkbox"/> f. Holding Companies</li> <li><input type="checkbox"/> g. Holding Company Systems</li> <li><input type="checkbox"/> h. Pre-need Companies</li> <li><input type="checkbox"/> i. Mutual Benefit Association</li> <li><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</li> </ul>	<p><b>5.</b> <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>		
<p><b>3.</b></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> a. Securities Dealers</li> <li><input type="checkbox"/> b. Securities Brokers</li> <li><input type="checkbox"/> c. Securities Salesman</li>   <li><input type="checkbox"/> d. Investment Houses</li> <li><input type="checkbox"/> e. Investment Agents and Consultants</li> <li><input type="checkbox"/> f. Trading Advisors</li> <li><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</li> <li><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</li> <li><input type="checkbox"/> i. Close-end Investment Companies</li> <li><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</li> <li><input type="checkbox"/> k. Transfer Companies and other similar entities</li> <li><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</li> <li><input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects</li> <li><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</li> </ul>	<p><b>6.</b> Company service providers which, as a business, provide any of the following services to third parties:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> a. acting as a formation agent of juridical persons</li> <li><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</li>   <li><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</li>   <li><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</li> </ul>		
<p><b>7.</b> Persons who provide any of the following services:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> a. managing of client money, securities or other assets</li> <li><input type="checkbox"/> b. management of bank, savings or securities accounts</li> <li><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</li> <li><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</li> </ul>	<p><b>8.</b> <input type="checkbox"/> None of the above</p>		
<p><b>B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?</b> <span style="float: right;"><input type="radio"/> Yes <input checked="" type="radio"/> No</span></p>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%; padding: 2px;">Describe nature of business:</td> <td style="padding: 2px;">SUGAR MANUFACTURING AND REFINING</td> </tr> </table>	Describe nature of business:	SUGAR MANUFACTURING AND REFINING
Describe nature of business:	SUGAR MANUFACTURING AND REFINING		

# GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** **ROXAS HOLDINGS, INC.**

## DIRECTORS / OFFICERS

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	INC'R	BOARD	SEX	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS AND FOREIGNERS
1. PEDRO E. ROXAS/FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	Y	C	M	Y	CHAIRMAN	N/A	104-738-165
2. SANTIAGO R. ELIZALDE/FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	Y	M	M	Y	N/A	N/A	100-349-993
3. RENATO C. VALENCIA 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	M	M	Y	V. CHAIRMAN CEO	N/A	118-457-420
4. ARCADIO S. LOZADA 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	M	M	Y	EVP OPERATIONS	N/A	104-240-170
5. ARCHIMEDES B. AMARRA/FILIPINO 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	N/A	M	N	EVP MARKETING & TRADING	N/A	251-022-697
6. ARMANDO B. ESCOBAR/FILIPINO 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	M	M	N	EVP CFO TREASURER	N/A	109-203-427
7. RAMON M. DE LEON 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	N/A	M	N	SVP HUMAN RESOURCES	N/A	122-047-005
8. JUAN MIGUEL J. ARANETA 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	N/A	M	N	SVP SUPPLY CHAIN & SP. PROJ.	N/A	121-345-492
9. LUIS O. VILLA-ABRILLE 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	N/A	M	N	SVP QUALITY ASSUR. & BUS. DEV.	N/A	115-600-277
10. FERDINAND JOSEPH T. ESCOBAL 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	N/A	M	N	SVP STRATEGIC AFFAIRS	N/A	199-758-194
11. FLORENCIO M. MAMAUAG, JR. 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	N/A	M	N	VP LEGAL COMP & ADMIN, CORP SEC	N/A	136-901-508
12. MARIE LYN P. CASEM 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	N/A	F	N	ASST. CORP. SEC	N/A	242-313-829
13. EDUARDO A. DE LA CRUZ 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	N/A	M	N	VP COMPTROLLER	N/A	105-700-466
14. FRANCISCO S. RONDILLA 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	N/A	M	N	VP INFO, INSTRU. & COMM.	N/A	118-454-197
15. THELMA C. RODRIGUEZ 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	N/A	F	N	VP INTERNAL AUDIT	N/A	130-659-615

**INSTRUCTION:**

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.



# GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** **ROXAS HOLDINGS, INC.**

**TOTAL NUMBER OF STOCKHOLDERS:**

NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:

**TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:**

Php **7,230,398,517**

## STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS AND FOREIGNERS
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
1. ROXAS & COMPANY, INC. FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	C	318,341,705	318,341,705.00	35.00%	318,341,705.00	000-138-757-000
	<b>TOTAL</b>	<b>318,341,705</b>	<b>318,341,705.00</b>			
2. FP NATURAL RESOURCES HOLDINGS BV NETHERLANDS PRINS BERNHARDPLEIN 200, 1097 JB AMSTERDAM, THE NETHERLANDS	C	309,197,760	309,197,760.00	33.99%	309,197,760.00	NOT ON FILE
	<b>TOTAL</b>	<b>309,197,760</b>	<b>309,197,760.00</b>			
3. PCD NOMINEE CORPORATION FILIPINO 37F TOWER 1, THE ENTERPRISE CENTRE, AYALA AVE., MAKATI	C	128,681,160	128,681,160.00	14.15%	128,681,160.00	004-774-849-000
	<b>TOTAL</b>	<b>128,681,160</b>	<b>128,681,160.00</b>			
4. RIZAL COMMERCIAL BANKING CORP FILIPINO 12F YUCHENGCO TOWER, RCBC PLAZA	C	34,476,000	34,476,000.00	3.79%	34,476,000.00	000-599-760-000
	<b>TOTAL</b>	<b>34,476,000</b>	<b>34,476,000.00</b>			
5. AGIKIK CORPORATION FILIPINO ABOITIZ CORPORATE CENTER GOV. M.A CUENCO AVE	C	28,120,000	28,120,000.00	3.09%	28,120,000.00	NOT ON FILE
	<b>TOTAL</b>	<b>28,120,000</b>	<b>28,120,000.00</b>			
6. PESAN HOLDINGS, INC. FILIPINO 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	C	19,572,020	19,572,020.00	2.15%	19,572,020.00	221-188-467
	<b>TOTAL</b>	<b>19,572,020</b>	<b>19,572,020.00</b>			
7. PCD NOMINEE CORPORATION OTHER ALIEN 37F TOWER 1, THE ENTERPRISE CENTRE, AYALA AVE., MAKATI	C	15,229,095	15,229,095.00	1.67%	15,229,095.00	NOT ON FILE
	<b>TOTAL</b>	<b>15,229,095</b>	<b>15,229,095.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>853,617,740</b>	<b>93.85%</b>	<b>853,617,740.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*

## GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> ROXAS HOLDINGS, INC.						
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>				<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>		
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b> Php 7,230,398,517						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS AND FOREIGNERS
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
8. MARTA ROXAS OLGADO SPANISH 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	C	6,759,212	6,759,212.00	0.74%	6,759,212.00	AB728507
	<b>TOTAL</b>	<b>6,759,212</b>	<b>6,759,212.00</b>			
9. RHI RETIREMENT TRUST FUND FILIPINO 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	C	5,655,000	5,655,000.00	0.62%	5,655,000.00	246-316-909-000
	<b>TOTAL</b>	<b>5,655,000</b>	<b>5,655,000.00</b>			
10. PAN MALAYAN MGMT. & INVESTMENT CORP FILIPINO 10F GREPALIFE BLDG., 221 GIL PUYAT AVE., MAKATI CITY	C	3,817,932	3,817,932.00	0.42%	3,817,932.00	321-000-137-755
	<b>TOTAL</b>	<b>3,817,932</b>	<b>3,817,932.00</b>			
11. ANTHONY U. QUE FILIPINO 230 ORBIT STREET, BEL AIR II, MAKATI CITY	C	2,035,850	2,035,850.00	0.22%	2,035,850.00	126-291-870-000
	<b>TOTAL</b>	<b>2,035,850</b>	<b>2,035,850.00</b>			
12. INSULAR LIFE ASSURANCE CO., LTD. FILIPINO INSULAR LIFE BLDG., 6781 AYALA AVE., MAKATI CITY	C	1,198,520	1,198,520.00	0.13%	1,198,520.00	NOT ON FILE
	<b>TOTAL</b>	<b>1,198,520</b>	<b>1,198,520.00</b>			
13. JOSE A. MANZANO, JR. FILIPINO NO. 212 BULUSAN ST., AYALA ALABANG VILL. MUNTINLUPA CITY	C	1,029,007	1,029,007.00	0.11%	1,029,007.00	NOT ON FILE
	<b>TOTAL</b>	<b>1,029,007</b>	<b>1,029,007.00</b>			
14. MAGNOLIA W. ANTONIO AND/OR GAUDENCIO W. ANTONIO JR. FILIPINO 15TH FLOOR, G.E. ANTONIO BLDG., T.M. KALAW ST., ERMITA, MANILA	C	1,014,000	1,014,000.00	0.11%	1,014,000.00	NOT ON FILE
	<b>TOTAL</b>	<b>1,014,000</b>	<b>1,014,000.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>21,509,521</b>	<b>2.36%</b>	<b>21,509,521.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

# GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** **ROXAS HOLDINGS, INC.**

**TOTAL NUMBER OF STOCKHOLDERS:** \_\_\_\_\_ **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:** \_\_\_\_\_

**TOTAL ASSETS BASED ON LATEST AUDITED FS:** Php 7,230,398,517

**STOCKHOLDER'S INFORMATION**

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS AND FOREIGNERS
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
GILBERT LIU FILIPINO 39 MARANAW ST., LA VISTA SUBD. KATIPUNAN AVE., QUEZON CITY	C	1,014,000	1,014,000.00	0.11%	1,014,000.00	NOT ON FILE
	<b>TOTAL</b>	<b>1,014,000</b>	<b>1,014,000.00</b>			
15. EAST WEST BANKING CORPORATION FILIPINO 20/F PBCOM TOWER, 6795 AYALA AVE. COR. RUFINO AVE., MAKATI CITY	C	914,290	914,290.00	0.10%	914,290.00	003-921-057-000
	<b>TOTAL</b>	<b>914,290</b>	<b>914,290.00</b>			
16. EMILIO PANTOJA FILIPINO Q. LEJANO ST., LIAN, BATANGAS	C	785,078	785,078.00	0.09%	785,078.00	NOT ON FILE
	<b>TOTAL</b>	<b>785,078</b>	<b>785,078.00</b>			
17. ARMANDO M. MEDINA FILIPINO 17-8 DONA AURORA ST., TAHANAN VILLAGE, PARANAQUE CITY	C	770,640	770,640.00	0.08%	770,640.00	NOT ON FILE
	<b>TOTAL</b>	<b>770,640</b>	<b>770,640.00</b>			
18. LEONARDO T. SIGUION-REYNA FILIPINO PHILCOM BLDG. 8755 PASEO DE ROXAS, MAKATI MM	C	608,400	608,400.00	0.07%	608,400.00	NOT ON FILE
	<b>TOTAL</b>	<b>608,400</b>	<b>608,400.00</b>			
19. RAUL S. ROCO FILIPINO 16/F STRATA 200 BLDG., EMERALD AVE ORTIGAS CENTER, PASIG	C	486,720	486,720.00	0.05%	486,720.00	NOT ON FILE
	<b>TOTAL</b>	<b>486,720</b>	<b>486,720.00</b>			
20. O. LEDESMA & CO. INC. RM. 203 LEDESMA BUILDING, INTRAMUROS, MANILA	C	446,160	446,160.00	0.05%	446,160.00	000-426-347
	<b>TOTAL</b>	<b>446,160</b>	<b>446,160.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>5,025,288</b>	0.55%	<b>5,025,288.00</b>	
			<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>			

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.





## GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
<b>CORPORATE NAME: ROXAS HOLDINGS, INC.</b>			
<b>1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION</b>	<b>AMOUNT (PhP)</b>	<b>DATE OF BOARD RESOLUTION</b>	
1.1 STOCKS	259,907,466.00	16-Oct-08	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	N/A		
1.3 LOANS/ CREDITS/ ADVANCES	N/A		
1.4 GOVERNMENT TREASURY BILLS	N/A		
1.5 OTHERS	N/A		
<b>2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)</b>		<b>DATE OF BOARD RESOLUTION</b>	<b>DATE OF STOCKHOLDERS RATIFICATION</b>
N/A			
<b>3. TREASURY SHARES</b>		<b>NO. OF SHARES</b>	<b>% AS TO THE TOTAL NO. OF SHARES ISSUED</b>
N/A		259,424,189	22.19%
<b>4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR</b>			
<b>5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YE. PhP628,064,228</b>			
<b>TYPE OF DIVIDEND</b>	<b>AMOUNT (PhP)</b>	<b>DATE DECLARED</b>	
5.1 CASH	0.06 per share	7-Aug-13	
5.2 CASH	0.06 per share	6-Nov-13	
5.3 PROPERTY	N/A		
<b>TOTAL</b>	<b>P</b>		
<b>6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:</b>			
<b>DATE</b>	<b>NO. OF SHARES</b>	<b>AMOUNT</b>	
<b>SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:</b>			
<b>NAME OF AGENCY:</b>	SEC	B S P	I C
<b>TYPE OF LICENSE/REGN.</b>	N/A	N/A	N/A
<b>DATE ISSUED:</b>			
<b>DATE STARTED OPERATIONS:</b>			
<b>TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)</b>	<b>TOTAL NO. OF OFFICERS</b>	<b>TOTAL NO. OF RANK &amp; FILE EMPLOYEES</b>	<b>TOTAL MANPOWER COMPLEMENT</b>
	0	0	0

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, FLORENCIO M. MAMAUAG, JR.  
(Name)

ASSISTANT CORPORATE SECRETARY OF THE ABOVE-MENTIONED  
(Position)

DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL INFORMATION SHEET WHICH CONSISTS OF \_\_\_\_\_ ( ) PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.

I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATION'S CERTIFICATE OF INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.


DONE THIS MAR 18 2014 DAY OF \_\_\_\_\_, 2014 IN MAKATI CITY.

  
(SIGNATURE)

SUBSCRIBED AND SWORN TO BEFORE ME IN MAKATI CITY/PROVINCE, PHILIPPINES ON MAR 18 2014 AFFIANT PERSONALLY APPEARED BEFORE ME AND EXHIBITED TO ME HIS/HER COMPETENT

EVIDENCE OF IDENTITY Passport No. EA0021335 ISSUED AT DFA MANILA ON 08 JANUARY, 2010

DOC. NO.: 176  
PAGE NO.: 37  
BOOK NO.: 73  
SERIES OF.: 2014

  
ATTY. HOEN  
NOVA...  
Until December 31, 2015  
Apct. No. M-04, Makati City  
IBP #542830, Nov. 12, 2011 (10M)  
PTR #4121342, Jan. 02, 2014 Makati  
S.C. Roll No. 56597  
MOCS Compliance No. IV-0011330  
Unit 601 Cityland Herrera Tower  
#38 Rufino St. cor. Velero St.  
Sincido Village, Makati City